



Norwegian University of
Science and Technology

Compiler Construction

Lecture 18: Data flow analysis framework

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Michael Engel

Overview

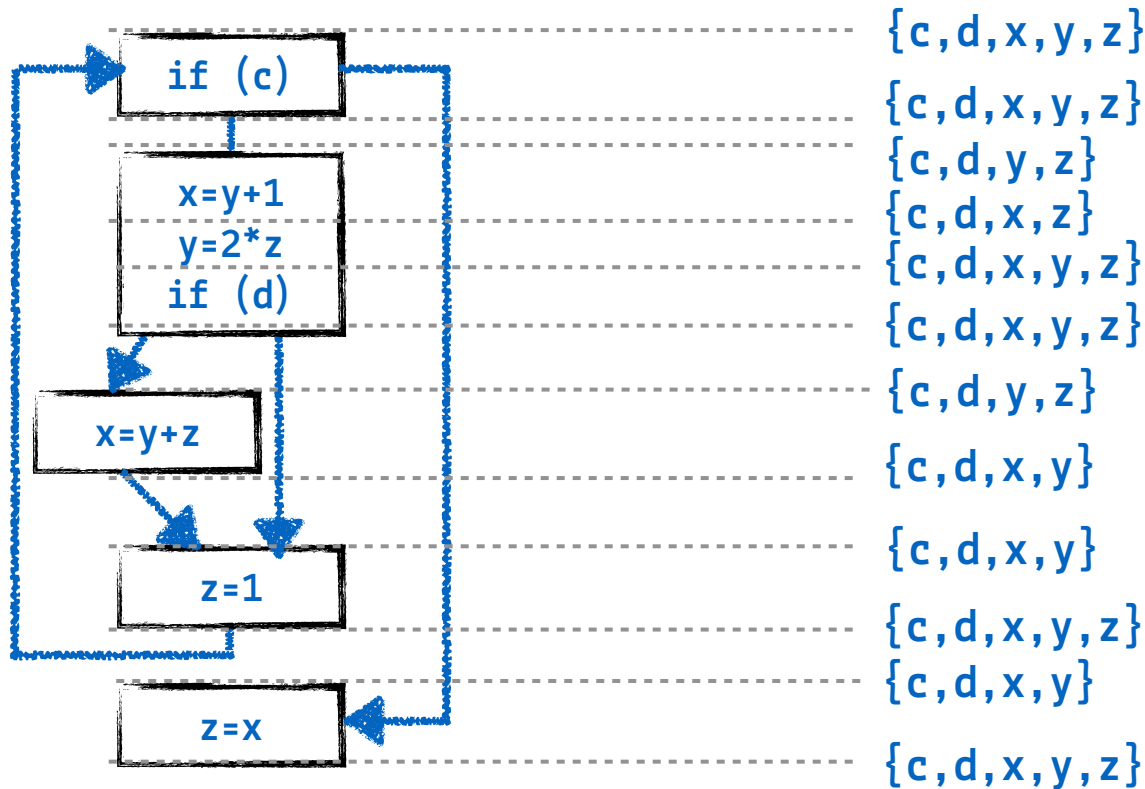
- Data-flow analysis
 - partial orders
 - lattices
 - operators

CFGs revisited

- We defined control flow graphs in terms of
 - Operations
 - Basic blocks of operations (that end in jumps)
 - Program points
 - As an example, we looked at live variables...
 - (variables that may still be used before their next assignment)
- ...how they can be found by traversing a control flow graph...
- Collect them in sets attached to program points
 - Find out how instructions affect the sets attached to the neighboring program points
 - Find out how to handle the sets at points where several control flows meet
- ...and how the CFG captures every possible execution of the program
(as well as a few impossible ones, to stay on the safe side)

Final result of analyzing liveness

- We have managed to determine the liveness of every variable for every program point



General procedure

- Associate program points with sets that represent the information we are interested in
- Figure out how the sets change
 - As a function of instructions
 - As a function of meeting points between control paths
- Make a safe assumption at an initial point
- Work out the function throughout the graph
- Repeat until the sets stop changing

- But... ***will the sets ever stop changing?***
 - Also, does the analysis get better by repeated application?
(we'll talk about this later)

Convergence

- Will this scheme always work?

Some conditions have to hold:

- If the sets have a maximum and minimum possible size **and**
- if the changes we make either **only** add or remove elements
⇒ they will necessarily reach a point where they stop changing
⇒ analysis ends there
- This is obviously a useful property, otherwise the compiler might run forever...

Precision

- How good is the outcome of the analysis?

We call an analysis **precise**:

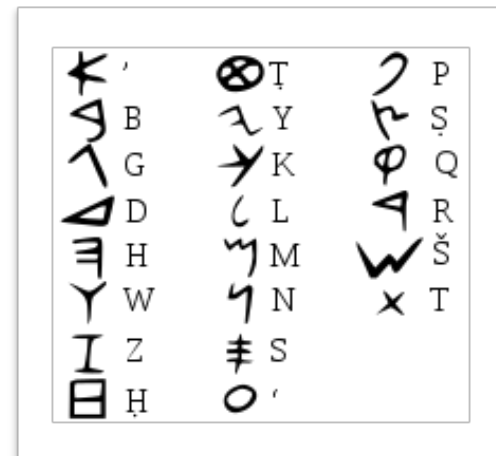
- If it reflects *all* control flows the program *can/will take* **and**
- *none* of the control flows it *will not take*

- A perfectly precise analysis cannot be derived by a computer

- Nevertheless, it is still useful to know if we can assess why quality is lost and how much
 - We need a bit of mathematical background for this...

Sets and orders

- Some sets have a (natural or implied) **order relation**, e.g.
 - The set of natural numbers: $1 < 2 < 3 < 4 < \dots$
 - The ordering relation here is "less than", written as '<'
 - Order defined using axioms and a rule system (Peano)
 - Letters in the alphabet: $a < b < c < \dots < z < \text{æ} < \text{ø} < \text{å}$
 - Lexicographical order by definition (from Phoenician alphabet)
- These are **total orders**
 - they put any pair of set elements in relation to each other
- Other sets do not have an order relation
 - e.g. complex numbers: is $1 < 1i$?
- Some sets let you pick a **consistent** order
 - we write the ordering relation using a **special comparison operator** \sqsubseteq to distinguish it from \cong, \subseteq



Partial order relations

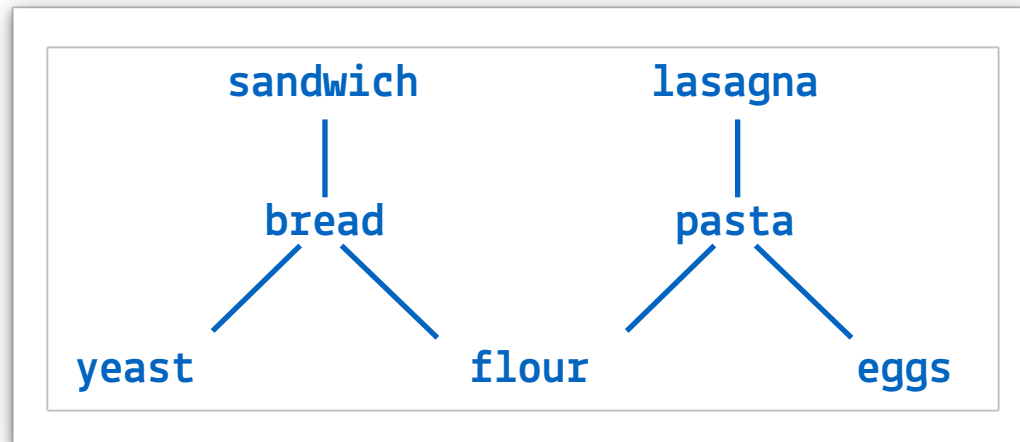
- A *partial order* (P, \sqsubseteq) contains
 - a **set** of 'things' (elements) P
 - a partial order **relation** \sqsubseteq
- Properties of the partial order relation
 - **reflectivity**: $x \sqsubseteq x$
 - **antisymmetry**: if $x \sqsubseteq y$ and $y \sqsubseteq x \Rightarrow x = y$
 - **transitivity**: if $x \sqsubseteq y$ and $y \sqsubseteq z \Rightarrow x \sqsubseteq z$
- For a *total order* it must hold that for every x, y : **either** $x \sqsubseteq y$ **or** $y \sqsubseteq x$
- In *partial orders*, not every pair needs to be comparable

An example

- We can partially order food ingredients as a (stupid?) example
- Let $x \sqsubseteq y$ denote that x is an ingredient of y
 - $\text{flour} \sqsubseteq \text{bread}$
 - $\text{flour} \sqsubseteq \text{pasta}$
 - $\text{eggs} \sqsubseteq \text{pasta}$
 - $\text{yeast} \sqsubseteq \text{bread}$
 - $\text{pasta} \sqsubseteq \text{lasagna}$
 - $\text{bread} \sqsubseteq \text{sandwich}$

Visualizing relations: Hasse diagrams

- We can graphically represent the example order (making use of transitivity) like this:

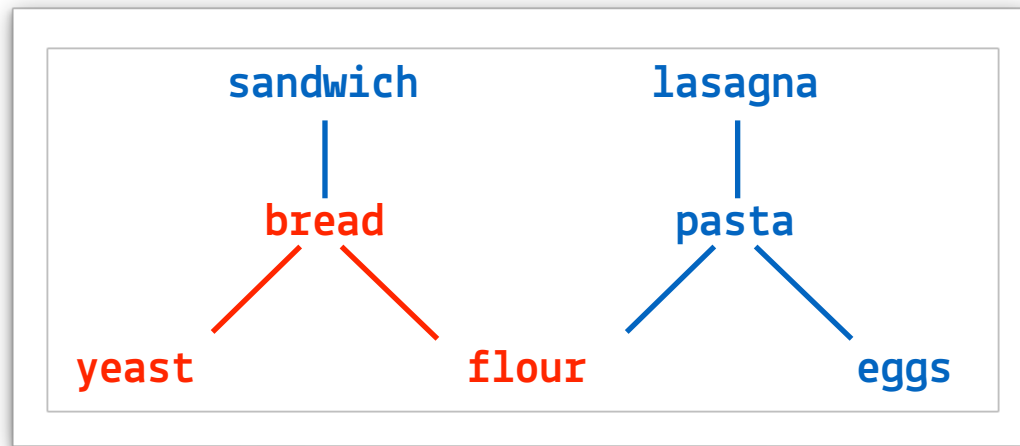


- Here, it is implied that yeast goes into making a sandwich via the bread connection
- There are pairs here which are not comparable using our ingredient relation

Least Upper Bound (LUB)

- The least upper bound of an element pair is the first thing they have in common when **going up** the order

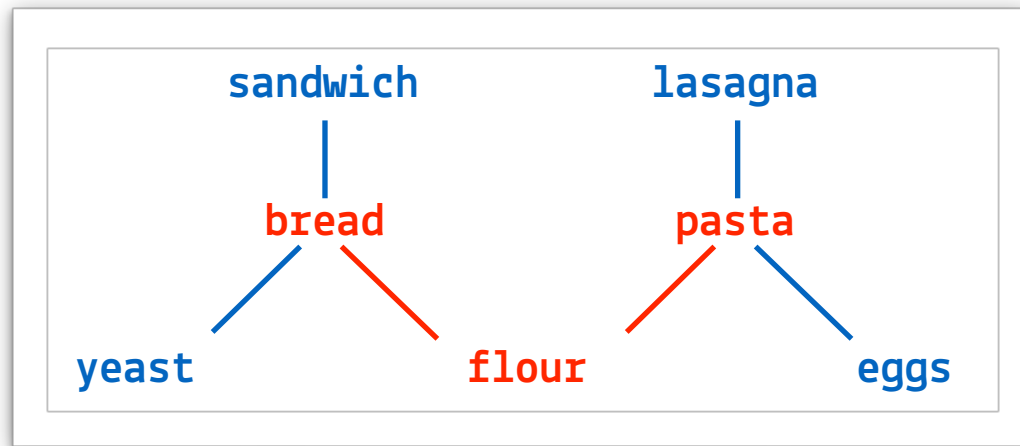
LUB(yeast, flour) = bread



Greatest Lower Bound (GLB)

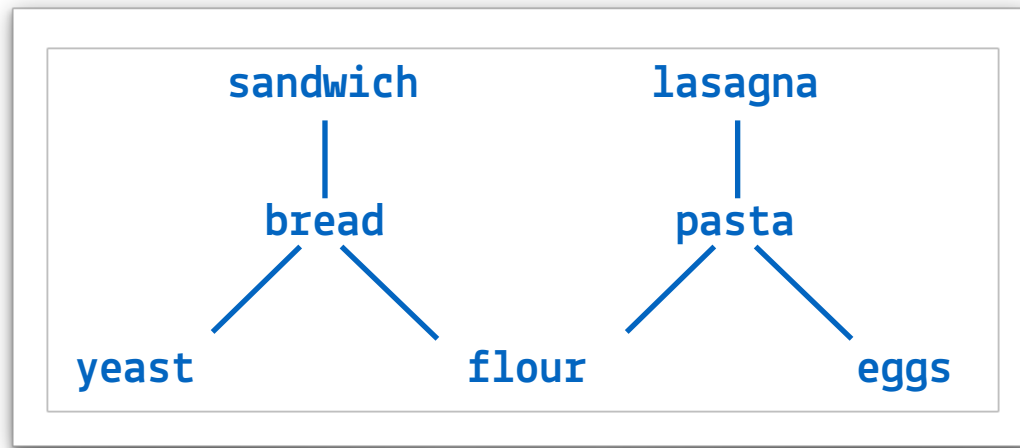
- The greatest lower bound of an element pair is the first thing they have in common when **going down** the order

GLB(bread, pasta) = flour



Maximum and minimum

- Partial orders do not necessarily have a unique top or bottom
 - $\text{GLB}(\text{yeast}, \text{eggs})$ does not exist
 - $\text{LUB}(\text{sandwich}, \text{pasta})$ neither



Lattices

- A partial order is a **lattice** if **any finite** (non-empty) subset has a LUB and a GLB
- *Example:* the natural numbers ordered by ' $<$ ' form a lattice
 - for any finite subset:
 - LUB is the biggest number in the set
 - GLB is the smallest number in the set
- The natural numbers have a **unique bottom element** (\perp)
 - it's the number zero
- They do **not** have a **unique top element** (\top)
 - since there are countably infinite many natural numbers
- You can pick **infinite subsets**
 - e.g. even numbers, primes, numbers > 42 , ...

Complete lattices

- A lattice is called **complete** if **any** (non-empty) subset has a LUB and a GLB
- These have top ("biggest") and bottom ("smallest") elements
 - For a complete lattice (L, \sqsubseteq)
 - $\top = \text{LUB}(L)$
 - $\perp = \text{GLB}(L)$
- Every finite lattice (lattice with a finite number of elements) is complete

Meet and join relations

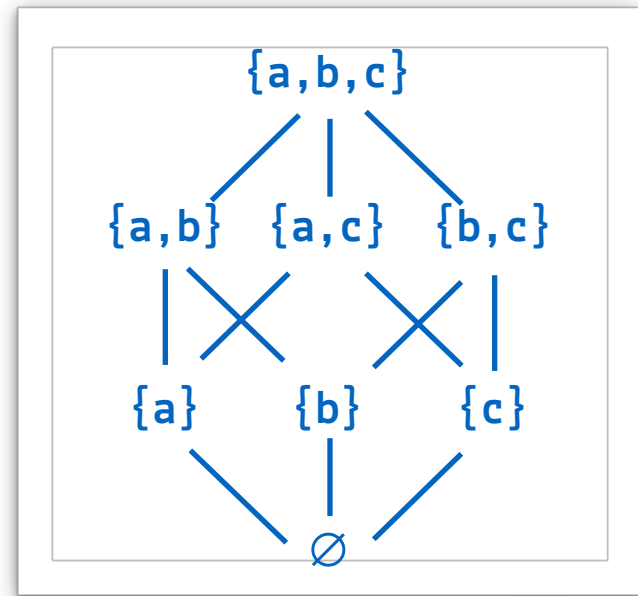
- Just to have some symbols that are independent of how we choose the order, define two operators
- "Meet"
 - $x \sqcap y = \text{GLB}(x, y)$
- "Join"
 - $x \sqcup y = \text{LUB}(x, y)$
- These can be naturally extended to sets of more elements:
 - $x \sqcap y \sqcap z = \text{GLB}(\text{GLB}(x, y), z)$

Power sets

- Consider the set $\{a, b, c\}$
- Its **Cartesian product** with itself is the set of all pairs:
 - $\{\{a, b\}, \{a, c\}, \{b, c\}\}$
- Its **power set** is:
 - $\{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}\}$
- The power set gives a partial order by the subset relation \subseteq

The power set lattice

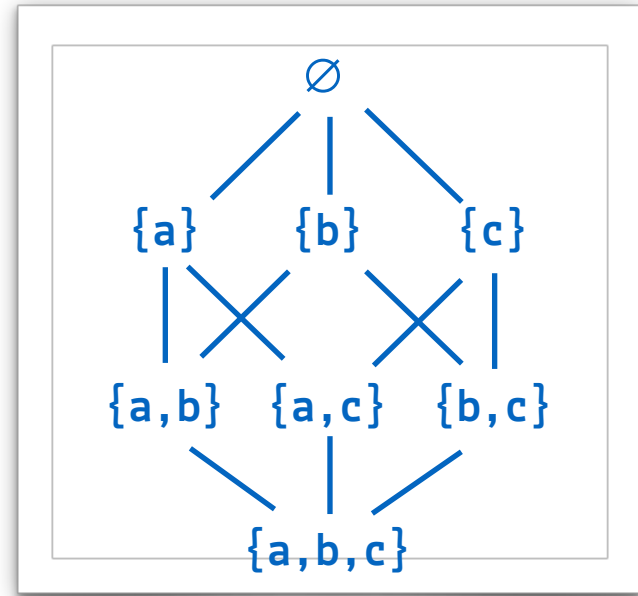
- Ordering relation: \subseteq
- Meet operator: \cap
- Join operator: \cup
- Top: $\{a, b, c\}$
- Bottom: \emptyset



We can turn it upside down

Just switch the operators around:

- Ordering relation: \supseteq
- Meet operator: \cap
- Join operator: \cup
- Top: \emptyset
- Bottom: $\{a, b, c\}$



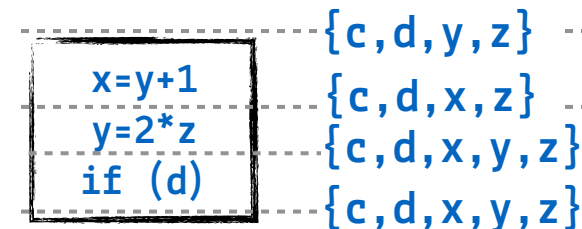
So, how can we use this theory?

Analysis of live variables

- If we take $\{a, b, c\}$ to be the three variables in a short program, every possible choice of live variables corresponds to a point in the power set lattice
- If we can express the effect of statements as a **transfer function** from one place to another in the lattice, we can argue that the set attached to a program point only moves in one direction wrt. the order when it is applied repeatedly
- That means it will either end up at the top, or stop somewhere before it

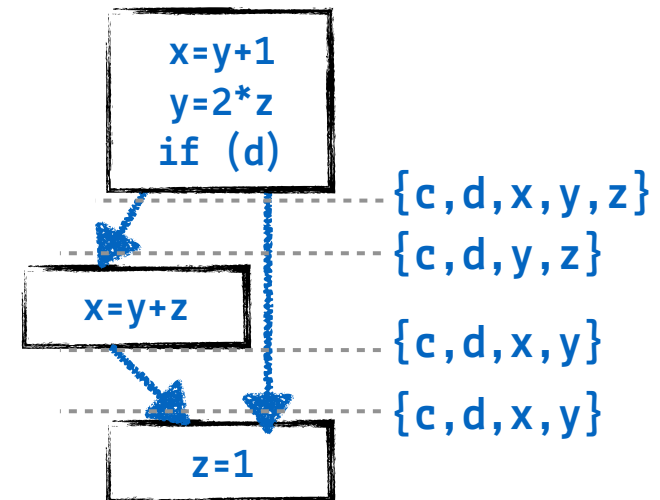
Transfer functions

- This is just a formalization of the idea that the instruction between two program points is a function from one place in the lattice to another
- For an instruction **I**:
 - Forward analysis: $\text{out}[I] = F(\text{in}[I])$
 - Backward analysis: $\text{in}[I] = F(\text{out}[I])$
- Accordingly, for basic blocks, the function of a block **B** is simply the nesting of the functions of **B**'s component instructions $I_1 \dots I_n$:
 - Forward:
$$\text{out}[B] = F_1(F_2(\dots(F_{n-1}(F_n(\text{in}[B]))\dots))$$
 - Backward:
$$\text{in}[B] = F_1(F_2(\dots(F_{n-1}(F_n(\text{out}[B]))\dots))$$



Where paths meet again

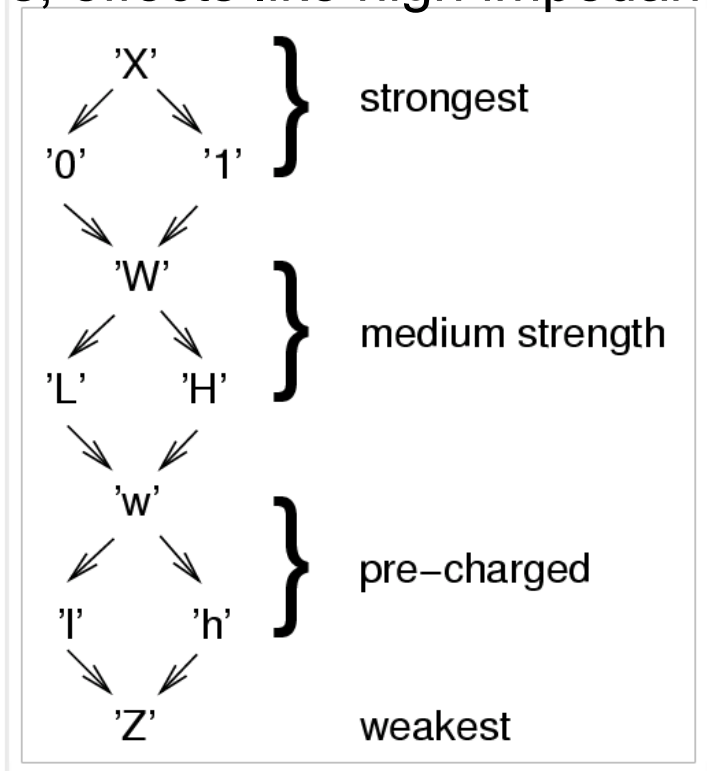
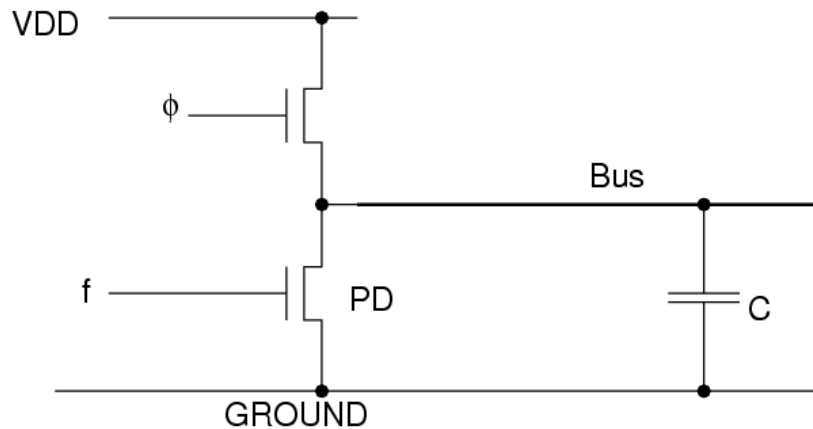
- For the points where multiple control flows intersect:
 - Forward: $\text{in}[B] = \sqcap \{\text{out}[B'] \mid B' \text{ is a predecessor of } B\}$
 - Backward: $\text{out}[B] = \sqcup \{\text{in}[B'] \mid B' \text{ is a successor of } B\}$
- If we really wanted to, we could use \sqcup instead and reverse the orders
 - With \sqcap , transfers in the lattice move toward its bottom
 - With \sqcup , transfers in the lattice move toward its top



Another application of Hasse diagrams

...no food involved, example from hardware modelling (from [2])

- The VHDL hardware description language allows for the definition of user-defined value sets, e.g. to describe **signal strength**
 - model components such as pull-ups, effects like high impedance



What's next?

- More on data-flow analyses

References

- [1] Peano, Giuseppe (1889).
Arithmetices principia, nova methodo exposita
[The principles of arithmetic, presented by a new method], pp. 83–97
- [2] Peter Marwedel (2018), Embedded System Design: Embedded Systems, Foundations of Cyber-Physical Systems, and the Internet of Things, Springer 2018, ISBN 9783319560458